

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Aggarwal et al.

Serial No.: 09/397,503

Group Art Unit: 2134

Filed: September 17, 1999

Examiner: Heneghan, M.

For: METHOD AND APPARATUS FOR PRODUCING DUPLICATION- AND IMITATION-RESISTANT IDENTIFYING MARKS ON OBJECTS, AND DUPLICATION-AND IMITATION-RESISTANT OBJECTS

Commissioner for Patents
Alexandria, Virginia 22313-1450

1 .

SUPPLEMENTAL AMENDMENT UNDER 37 C.F.R. §1.111

Sir:

In response to the Office Action dated May 6, 2004, and further to the Amendment Under 37 CFR §1.111 filed on August 6, 2004, and consistent with the personal interview conducted on September 9, 2004, please further amend the above-identified application as herein indicated.

Introductory Remarks:

Claims 1, 7, 19, 34, and 41-45 are amended to correct informalities from the previously-submitted version, filed on August 6, 2004, and new claims 46-53 are added, as discussed during the personal interview of September 9, 2004.

11/12/2004 BHILLIAN 00000001 000441 }

01 FC:1201 02 FC:1202 35.00 DA 144.00 DA

S/N 09/397,503

IBM Docket: YOR919990129US1

PAGE 3/22 * RCVD AT 9/21/2094 1:52:53 PM [Eastern DaySight Time] * 5VR:USPTO-EPXRF-4/1 * DNIS:8729306 * CSID:7037612375 * DURATION (mm-ss):07-2

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2003

Application or Docket Number 09/397503

	, , .	1	SMALL ENTITY TYPE			OTHER THAN SMALL ENTITY						
TC	TAL CLAIMS					•		RATE	FEE		RATE	FEE
FC	PR		NUMBER	FILED	NUMBER EXTRA			BASIC FEE	385.00	OR	BASIC FEE	770.00
то	TAL CHARGEA	BLE CLAIMS	mir	nus 20=	•			X\$ 9=		OR	X\$18=	
INC	EPENDENT CL	_AIMS	m	inus 3 =	*			X43=		OR	X86=	
MU	LTIPLE DEPEN	IDENT CLAIM P	RESENT		5.··			+145=		OR	+290=	
* If	the difference	ero, enter	"0" in d	column 2		TOTAL		OR	TOTAL			
7	9-X1-04 C	L	SMALL	ENTITY	OR	OTHER SMALL						
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			•		TOTAL ADDIT. FEE			TOTAL ADDIT. FEE				
F		(Column 1)		(Colur	nn 2)	(Column 3)	L		•			
AMENDMENT &		CLAIMS REMAINING AFTER AMENDMENT		HIGH NUM PREVIO PAID	BER OUSLY	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE
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	Independent	*	Minus	***		=	4	X43=		OR	X86=	
Ľ	FIRST PRESE	J	+145=		OR	+290=						
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.										05	TOTAL	
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20." ADDIT FEE ADDIT. FEE ADDIT. FEE ADDIT. FEE The "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3." The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.												

PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

3

Effective November 10, 1998											139	1750	3			
	CLAIMS AS FILED - PART I (Column 1) (Column 2)									SMALL ENTITY OTHER THAN TYPE OR SMALL ENTITY						
FC	OR		NUMBE	JMBER FILED NUM			BER EXTRA			FEE	1	RATE	FEE			
BA	SIC FEE					\$				380.00	OR		760.00			
TC	TAL CLAIMS		36	minus	20≃ •	16	2	X\$ 9	=	, 1	OR	X\$18=	288			
INC	EPENDENT CL	AIMS	5 minus 3 = a 2					X39	=		OR	X78=	156			
MULTIPLE DEPENDENT CLAIM PRESENT							+130)=		OR	+260=					
	* If the difference in column 1 is less than zero, enter "0" in column 2								ΛL.	. :	OR	TOTAL	1204			
18	10-3-0 3 CLAIMS AS AMENDED - PART II (Column 1) (Column 2) (Column 3)									SMALL ENTITY OR SMALL ENTITY						
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										. []	OR	TOTAL ADDIT. FEE				
,	316-04		mn 1)	計劃製造	(Colu		(Column 3)		1	•						
AMENDMENT B		REM	NMS NNING TER DMENT		PREV	HEST MBER IOUSLY FOR	PRESENT EXTRA	PATE		ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE			
	Total	•	33 ⊤	Minus	**	36	= /	X\$ 9	-		OR	X\$18=				
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0	7-6-04		mn 1)	je vi}∵.		mn 2)	(Column 3)		.:							
AMENDMENT C		REMA AF	UMS UNING TER DMENT		NUM PREVI	HEST MBER OUSLY FOR	PRESENT EXTRA	RATE		ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE			
2	Total	• 5		Minus	**	36	= 6	X\$ 9=	. [: 4:1	OR	X\$18=	108			
AME	Independent	*	1	Minus	***	5	• 2	X39=		1011111	OR	X7€=	172			

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

FIRST PRESENTATION OF MULTIPLE DEPENDENT, CLAIM

ADDIT. FEE If the "Highest Number Previously Paid For" (N THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate boy in column 1....

FORM PTO-475 (Rev. 11/98)

OR

OR

X39=

. [[41] +130=

TOTAL

ADDIT. FEE

:411

X76=

+260=

TOTAL

^{**} If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
***If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."